

IN THE CIRCUIT COURT OF
THE 11TH JUDICIAL CIRCUIT
IN AND FOR DADE COUNTY, FLORIDA
GENERAL JURISDICTION DIVISION
CASE NO. 94-08273 CA (22)

HOWARD A. ENGLE, M.D.,
et al.,

Plaintiffs,

vs.

R.J. REYNOLDS TOBACCO

COMPANY, et al.,

Defendants.

Miami-Dade County Courthouse
Miami, Florida
Monday, 2:00 p.m.
November 8, 1999

TRIAL - VOLUME 389

The above-styled cause came on for trial
before the Honorable Robert Paul Kaye, Circuit

Judge,

pursuant to notice.

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APPEARANCES:

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SUSAN ROSENBLATT, ESQ.

On behalf of Plaintiffs

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DAN WEBB, ESQ.

BRADLEY LERMAN, ESQ.

On behalf of Defendant Philip Morris

DECHERT PRICE & RHOADS

WILLIAM DODDS, ESQ.

On behalf of Defendant Philip Morris

COLL DAVIDSON SMITH SALTER & BARKETT

NORMAN A. COLL, ESQ.

On behalf of Defendant Philip Morris

ZACK KOSNITZKY

STEPHEN N. ZACK, ESQ.

On behalf of Defendant Philip Morris

CARLTON FIELDS WARD EMMANUEL SMITH & CUTLER

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DOUGLAS J. CHUMBLEY, ESQ.

On behalf of Defendant R.J. Reynolds

JONES, DAY, REAVIS & POGUE

JAMES JOHNSON, ESQ.

JAMES YOUNG, ESQ.

DIANE G. PULLEY, ESQ.

On behalf of Defendant R.J. Reynolds

KING & SPALDING

GORDON SMITH, ESQ.

On behalf of Defendant Brown & Williamson

CLARKE SILVERGLATE WILLIAMS & MONTGOMERY

KELLY ANNE LUTHER, ESQ.

On behalf of Defendants Liggett Group

and Brooke Group

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APPEARANCES (Continued)

SHOOK HARDY & BACON
KENNETH J. REILLY, ESQ.
WILLIAM P. GERAGHTY, ESQ.
On behalf of Defendant Brown & Williamson
JAMES T. NEWSOM, ESQ.
On behalf of Defendant Lorillard
GREENBERG TRAURIG HOFFMAN LIPOFF ROSEN & QUENTEL
DAVID L. ROSS, ESQ.
On behalf of Defendant Lorillard
MARTINEZ & GUTIERREZ
JOSE MARTINEZ, ESQ.
On behalf of Defendant Dosal Tobacco Corp.
and Tobacco Institute
KASOWITZ BENSON TORRES & FRIEDMAN
AARON MARKS, ESQ.
On behalf of Defendants Liggett Group
and Brooke Group
ADORNO & ZEDER
ANTHONY UPSHAW, ESQ.
On behalf of Defendant Brown & Williamson
DEBEVOISE & PLIMPTON
JOSEPH P. MOODHE, ESQ.
On behalf of Defendant Council for Tobacco

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3	WITNESS			PAGE
4	DAVID SIDRANSKY, M.D.			
5	Redirect by Mr. Rosenblatt			39562
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7				
8		E X H I B I T S		
9	PLAINTIFFS'	OFFERED	ADMITTED	FOR ID
10	EXHIBITS	PAGE	PAGE	PAGE
11	None			
12		E X H I B I T S		
13	DEFENDANTS'	OFFERED	ADMITTED	FOR ID
14	EXHIBITS	PAGE	PAGE	PAGE
15	None			
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1 (Whereupon, the following proceedings were
had:)
2 THE COURT: Are we ready?
3 MR. REILLY: Yes, Your Honor.
4 THE COURT: Okay. Bring the jury out.
5 MR. REILLY: Your Honor, I have no other
6 questions.
7 THE COURT: You're not going to have any
8 other questions?
9 MR. REILLY: No, sir.
10 THE COURT: You'll say that in front of
the
11 jury.
12 (Discussion off the record.)
13 (The jury entered the courtroom.)
14 THE COURT: All right. Have a seat,
folks.
15 All right. We were on the defense cross
when
16 we broke for lunch.
17 You may proceed, sir.
18 MR. REILLY: No further questions, Your
19 Honor.
20 THE COURT: All right. No further
questions
21 from the defense.
22 Redirect.
23 MR. ROSENBLATT: Yes, Your Honor.
24 REDIRECT EXAMINATION
25 BY MR. ROSENBLATT:
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1 Q. I started making notes, Dr. Sidransky,
when
2 Mr. Reilly started questioning you, so I'm going
to go
3 kind of in the order in which he questioned you,
in
4 terms of the subjects I'm going to cover.
5 Now, I think he started out by asking
you
6 about how you happened to get involved in this
case,
7 and he asked you if Dr. Feingold, who is a, as you
8 know, a Miami pulmonologist, whether Dr. Feingold
had
9 asked you to become involved as a witness in this
case.
10 And what was your answer to that?
11 A. As I recall, again, it was that Cliff

Douglas
12 who had called me, not Alan Feingold, about
becoming
13 involved in the case.
14 Q. Okay. Cliff Douglas is a lawyer?
15 A. Correct.
16 Q. Practices in Michigan?
17 A. That's what I understand.
18 Q. Okay. And then I think you were asked
if you
19 had ever testified in a tobacco case where Dr.
Feingold
20 also testified, and I believe you said the Maddox
case?
21 A. Correct.
22 Q. Okay. Where was the Maddox case, what
city?
23 A. It was in Jacksonville.
24 Q. I was not involved in that case, was I?
25 A. You were not involved, no.
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1 Q. Now, then I think you were asked a
couple of
2 questions about whether you ever gave a lecture to
3 lawyers on subjects which would be related to
tobacco
4 and health, and you said you did one time?
5 A. Correct.
6 Q. And where was that?
7 A. That was in New Orleans, and at the
request
8 of Woody Wilner, a lawyer from Jacksonville, had
he
9 asked me if I would speak on the subject of
genetics
10 induced by tobacco smoke, in the particular tumor
11 types, and that's essentially what I did.
12 Q. Okay. To the best of your knowledge, I
was
13 not at that meeting and we never had any contact
at
14 that meeting?
15 A. No. I never met you until I flew in
16 yesterday.
17 Q. Okay. Now, the chart that Mr. Reilly
was
18 using, where he was discussing with you what a
doctor
19 needs to do to become board-certified in
pathology,
20 what a doctor needs to do to become
board-certified in
21 internal medicine or oncology, where you are
22 board-certified, at a teaching institution such as
23 Shands, which, as you know, is connected with the
24 University of Florida School of Medicine, is it
your
25 presumption that the pathologists who interpreted

Mary

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with 1 Farnan's pathology slides there, who were involved
field 2 her care and who were talking to her treating
3 physicians, that they were board-certified in the
4 of pathology?
5 A. Well, again, I think going through the
same 6 arguments that we went through with Mr. Reilly
would 7 only make sense; that, generally, every single
8 pathologist in any major institution that signs
out on 9 the pathology has to be board-certified.
10 Q. And what you've told us on direct
11 examination, that based on your review of the
records, 12 those board-certified pathologists never uttered a
13 syllable about BAC, did they?
14 A. Well, that is correct, both in the
cytology 15 specimens, which we talked about earlier today,
taken 16 from the original biopsy, the CT-guided biopsy, as
well 17 as all of the other pathology reports, which
included 18 the pathology of the left tumor, the pathology for
the 19 right tumor and then the metastasis to the brain.
20 Q. Now, although you are a cancer
specialist and 21 board-certified in oncology, you are not
specifically 22 board-certified in the field of pathology,
correct? 23 A. That is correct.
24 Q. So, how is it with that credential being
25 lacking, that you are, in fact, a full professor
of

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1 pathology at Johns Hopkins University School of
2 Medicine?
3 A. Well, I would say two things. I would
say 4 it's kind of analogous to learning how to fly and
5 flying in the Air Force, and then coming back into
the 6 United States into the civil service and having to
get 7 a civil license to be able to fly.

8 What happens is that when you're at an
9 institution and you're practicing in the area of
10 pathology, albeit not as a service, so you're not
11 billing for it, there is no requirement for me to
go
12 get board certification.
13 What happens over a period of time in an
14 institution like Hopkins is board-certified
15 pathologists, who are obviously all members of the
16 department of pathology, recognized expertise that
17 you've developed, in this case the expertise that
I
18 developed, particularly in head and neck cancer
and
19 lung cancer, the tumor types we're talking about
today,
20 particularly lung cancer, and those
board-certified
21 pathologists then essentially ask you to and
certify
22 you within their department to be able to work
within
23 their department and be able to work on pathology.
24 So, in a sense, it's not the general
25 certification that people go through to get a
pathology

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1 equivalent, but I would say that it is essentially
2 another way in academics that you can get the same
type
3 of expertise acknowledged, and I think that's what
it
4 shows.
5 Q. So, in other words, the people making
the
6 judgment or who enabled you to function as a
professor
7 of pathology at this medical school are, in fact,
8 board-certified pathologists?
9 A. Correct.
10 Q. When you practiced hands-on oncology --
and
11 by the way, when you were an oncologist at Johns
12 Hopkins, your patients came from where?
13 A. Well, Johns Hopkins Hospital is
essentially
14 an inner-city hospital, and we treat the
surrounding
15 population. We also have quite a few people that
fly
16 in from around the country, as well as
internationally,
17 to be treated at Hopkins. So, it's really quite a
18 flavor of different -- people from different
19 backgrounds and different situations.
20 Q. Now, when you were treating patients
the
21 yourself, did you have occasion to actually study

22 slides of your patients under a microscope and
function
23 as a pathologist?
24 A. Well, I think we went through that
earlier,
25 but that is the case in almost -- in almost every
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1 single case; that is, that we would see the
patients,
2 either in some circumstances we would draw the
material
3 ourselves, especially from bone marrows or
sometimes
4 from peripheral lymph nodes to look at the slides,
but
5 we would always go to the department of pathology
and
6 look at the slides ourselves, because it's so
important
7 in knowing how to treat the patient and what that
8 prognosis is for that patient.

9 Q. Does your work in molecular pathology
10 cause you to interact on a frequent basis with
11 board-certified pathologists?

12 A. Well, that is part of the reason why
we're a
13 part and why I am a professor in pathology. We
work
14 with them all of the time.
15 Basically, virtually all our studies
involve
16 the dissection of tissue, taking of slides,
looking at
17 it under the microscope, identifying certain cell
types
18 or patterns, extracting the material to make DNA,
the
19 part that's inside the cell, looking at the
genetic
20 changes in those cells.

21 And, again, pathology is an integral
part of
22 everything that we do, and we work on every single
one
23 of our studies with the pathologist as well, as a
24 general rule.

25 Q. Either at the present time or when you
were a

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1 treating oncologist, did you, yourself, have
occasion
2 to take tissues or cells, you know, to gather them
3 from the patient, or was that always done by the

4 pathologist?
5 A. No. We also had the opportunity to do
it,
6 and we still do it from time to time, mostly in
the
7 outpatient setting as we talked about, where we
take
8 the opportunity in certain diseases where we want
to
9 stage patients, see if there are tumor cells in
certain
10 stages like the bone marrow, or, again, if there
is an
11 easily accessible mass we want to look at
ourselves, we
12 will do that as well.
13 Q. As a practical matter, you pick up a
hospital
14 chart, and whether it's Dr. Murphy or Dr. whoever
who's
15 listed as a pathologist, the way it works, does
that
16 pathologist, who's deciding whether a given tumor
is
17 cancerous and deciding what type of cancer, does
that
18 pathologist, in your experience, have direct
patient
19 contact?
20 A. That's very unusual. That's one of the
21 reasons why it's so important to interact with
22 pathologists and go and see the slides together,
23 because what the pathologist generally receives is
a
24 lot of material from different places in the
hospital.
25 He's not seeing the patients

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1 Oftentimes there's histories that are
given
2 to them that are incorrect. I mean, if you look
at the
3 paper that we admitted as evidence, there's a much
4 larger smoking history than most of the treating
5 physicians saw in Ms. Farnan of 75 pack-years.
6 You can oftentimes, from some mistakes
that
7 are transmitted to the pathologist -- and it's one
of
8 the reasons why it's very important for treating
9 physicians, whether they be oncologists or
otherwise,
10 to interact with the pathologist and make sure
that
11 they understand what the clinical scenario is and
what
12 the patient has, from a pathologic point of view.
13 Q. So, is it fair to say that in most

instances,
14 probably, a patient wouldn't even know the name or
ever
15 have met the pathologist?
16 A. That is true.
17 Q. Just like a radiologist?
18 A. That is correct.
19 Q. The doctor who is interpreting X-rays?
20 A. Right. That would be very unusual.

There
21 are some pathologists now that try to go out to
the
22 clinic and gather the material themselves for some
of
23 the cytology specimens, and the patient may come
in
24 contact, or in the case of the CT-guided biopsy,
the
25 patient may come in contact with either the
radiologist

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1 or a pathologist that's there looking at the
slides to
2 make sure the material is adequate.
3 Q. Now, would that same relationship hold
true,
4 or would it be a different relationship, the
5 relationship between a pathologist and patient,
when we
6 talk about cytology?
7 A. That would be very similar, as well.
The
8 cytologist tends to go out a little bit more into
the
9 clinical area because, as we've gotten more and
more
10 to noninvasive techniques, where you don't have to
go
11 under local or certainly general anesthesia where
12 you're put to sleep to take a piece of tissue,
13 the cytologists have gone out more and more into
the
14 clinics to help ascertain whether the material
that's
15 drawn is adequate for making a diagnosis.
16 So, they do sometimes go out. But most
of
17 the time, they also see samples in a centralized
18 setting in the hospital.
19 Q. Now, you were asked if you had taken any
20 photomicrograph. And remind the jury, what is a
21 photomicrograph?
22 A. Well, when you're looking at slides, you
can
23 take pictures. So, you have these large number of
24 slides, I don't know, 40 something, or whatever
you had
25 in this case, from different parts of the

different

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1 tumors that we had, and you go through them and
you
2 basically establish a diagnosis. You can go back,
3 while you're doing this, identify certain areas of
4 the -- of the material and decide that you wanted
to
5 show it to somebody. So you take a picture of it,
and
6 it's essentially -- it can be put on film and you
can
7 make reproductions of it. You can blow it up.
You can
8 show it. You can obviously use it to display what
9 you're seeing.
10 Q. Now, when you had access to the slides,
both
11 the pathology slides and the cytology slides, you
chose
12 not to take any photographs, correct?
13 A. Correct.
14 Q. Why not? Would they have been useful to
you,
15 do you feel, in terms of rendering opinions in
this
16 case?
17 A. I don't think so. And the reason is
that you
18 have to remember, when you're looking at a tumor
and
19 you have all these different slides and you're
looking
20 at it in high-power, hundreds of different fields
--
21 because when you go into high power, you're going
to be
22 looking very carefully at each section of the
slide,
23 the diagnosis established by looking at all of the
24 cells and understanding what are the variations
between
25 them, what is the type of cell that you're looking
at,

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1 what is this cancer, and it's more than five or
six
2 criteria that we listed earlier. It's really
probably
3 hundreds of different criteria.
4 You know, when they've tried to
reproduce
5 something simple like the pap smear, machines that

can
different
all
at
enough
person
a
space
and
the
you can
they do
at

6 read what humans read, they program 30 or 40
7 characteristics into the cells, and yet when it
8 spits it out, you still have to have a person look
9 it to make sure, because you just can't define
10 characteristics to replace the experience of a
11 that's looking through this and knows what they're
12 looking for.
13 The problem is that if you were to take
14 picture of the world, let's say you were on a
15 ship, and you would take a small area of the world
16 show mountains, you can conclude from that that
17 whole world is full of mountains. Well, in truth,
18 two-thirds of it is completely water.
19 The problem is that with micrographs,
20 basically show whatever you want. Tumor cells are
21 exactly what I said. Like 600-pound gorillas,
22 all kinds of bizarre things: They spread out
23 differently through tissues; they can form
24 bizarre shapes. They can form very plain shapes.
25 As they go through that, you're looking

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Somebody can
"Hey,
correct
few
counts
material,
to
had
treating
is,

1 that slide to make an accurate diagnosis.
2 take one particular area, blow it up, and say,
3 this looks like something," but that's not the
4 diagnosis.
5 So, I don't see any value in taking a
6 selected slides and saying, "This is what I saw,"
7 because in the end, the only thing that really
8 is you got an opportunity to look at a lot of
9 sufficient material in your experience, to be able
10 make an accurate diagnosis; and I believe that I
11 more than adequate material here, as did the
12 pathologist and the treating cytopathologist,
13 obviously, to make the same diagnosis, and that
14 this is not BAC.

15 Q. And is that why, for example, you were
asked
16 some questions about staining and whether you
could do
17 some or more sophisticated tests on the slides you
had,
18 but you chose not to do them, is your answer the
same?

19 A. Well, my answer would be the same. You
can
20 do all kind of different tests: you can stain for
21 different proteins, you can even do molecular
studies,
22 but I don't think it would change anything we're
23 talking about here.

24 These are poorly differentiated cells.
They
25 do not look like classic BAC. This is not a
tumor

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1 that -- Mrs. Farnan does not have a tumor that is
BAC.

2 This is a tumor that is associated and caused by
3 cigarette smoking.

4 Q. And, Doctor, wherever one chooses to put
5 bronchioalveolar carcinoma, whether as a subset of
6 adenocarcinoma or as a separate category, did
7 Mrs. Farnan have BAC?

8 A. Well, you know, I think it comes down to
9 something that's very familiar to us as
physicians, and

10 that is, that we have lumpers and we have
splitters,

11 when we try to do all this kind of stuff. There
are

12 some people that would rather have one or two
13 categories of anything and not make it any more
14 complicated, and there are others that would try

to
15 distinguish a hundred different small divisions
and say

16 each one of them is important.

17 The only important thing here is that
there

18 is a very rare disease called bronchioalveolar
19 carcinoma, makes up less than one out of every

hundred
20 tumors that we look at. It is a distinct clinical
21 entity. It presents differently. It progresses
22 differently and looks very differently under the
23 microscope.

24 It makes not one bit of a difference to
me

25 whether it's classified as an adenocarcinoma or

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That 1 something separate outside of an adenocarcinoma.
has, 2 is a rare disease. That is not what Mrs. Farnan
associated 3 and the disease -- well, BAC is not always
4 with smoking, but most patients with BAC still do
5 smoke.
we 6 MR. REILLY: Objection, Your Honor. May
7 approach?
necessary 8 THE COURT: No. I don't think it's
9 at this point.
the 10 I think we're going a little bit beyond
11 question.
12 MR. ROSENBLATT: All right. Let me
13 interrupt --
14 THE COURT: I'll tell you, just for the
15 record, for the sake of the record, I'll sustain
the 16 objection as far as it goes, but change the
direction. 17
18 MR. ROSENBLATT: Okay.
19 BY MR. ROSENBLATT:
whether 20 Q. Did Mrs. Farnan have any form of BAC,
terms 21 you're dealing with a lumper or a separator, in
22 of categories?
23 A. No. This is not BAC.
Farnan 24 Q. And the type of lung cancer that Mrs.
25 had was caused by what?
had 26 A. The type of lung cancer that Ms. Farnan

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is 1 was caused by cigarette smoke.
2 Q. What percentage of lung cancer overall
3 caused by cigarette smoking?
4 A. Overall, it's about 90 percent --
5 MR. REILLY: Your Honor, this is Phase
I. 6 A. -- is caused --
7 THE COURT: Yes. I'll sustain that.
8 BY MR. ROSENBLATT:
9 Q. Does the unique entity, which you've
10 described, of bronchioalveolar carcinoma, does
that 11 ordinarily metastasize to the brain, or is that
not 12 characteristic of BAC?
13 A. That is not characteristic of BAC.
There are

stage 14 recent studies that have suggested that advanced
had, if 15 BAC, like the type that Mrs. Farnan could have
making it 16 it was, in fact, BAC, would be very unlikely to
17 metastasize, that is, advanced stage BAC is very
18 unlikely to metastasize to the brain; again,
19 very unlikely that this disease is BAC.
20 Q. When oncologists talk about cancer as
being 21 invasive as opposed to metastasizing, how do they
use 22 that term? What does it mean for a particular
tumor to 23 be invasive?
24 A. Well, in simple terms, it means that the
25 tumor has invaded the normal tissue. That
essentially

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the 1 is cancer. If the tumor is still contained within
2 little membrane that separates out the lining of
3 whatever tissue you're looking at versus the
deeper 4 tissues, it's what we referred to earlier as
carcinoma 5 in situ, is that it's still contained, and that
6 actually is not a very dangerous situation. It's
very 7 easy to remove and is unlikely to progress at all
once 8 it's been removed.
9 Most clinical cancers we deal with have
10 invaded; that is, that they've already gone into
11 adjacent tissue and the cells are spreading deeply
into 12 the tissues that are surrounding those cells. And
13 that, by definition, is what we call invasive.
14 Metastasis means that the cells have left and gone
15 someplace else, other than the site where they
first 16 started, so they could spread to other parts of
the 17 lungs or they could go to the brain, et cetera.
18 Q. When a lung cancer, such as Mrs.
Farnan's, 19 goes to the shoulder and to the brachial plexus,
is 20 that part of what we mean when we talk about
something 21 being invasive?
22 A. That is certainly an invasive cancer. I
23 mean, by definition, cells that invade into the
neural 24 cells, such as nerves, or certainly into bones,
such as 25 the shoulder, that is by definition an invasive

cancer.

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1 Q. In terms of the BAC entity, is being
invasive 2 or not invasive characteristic of BAC?
3 A. Well, I think we went through it earlier
4 today.
5 MR. REILLY: Your Honor, I object. It's
been 6 asked and answered.
7 THE COURT: Yes. It was, I believe,
both on 8 direct and on cross.
9 BY MR. ROSENBLATT:
10 Q. Now, you were asked some questions about
11 whether the treatment of chemotherapy or radiation
12 therapy will change what you saw on the slides,
whether 13 they be pathology slides or cytology slides?
14 A. Correct.
15 Q. So, tell us about that, that process,
and 16 whether it has an impact or changes what you will
17 actually see?
18 A. Well, again, chemotherapy and radiation
19 therapy can certainly cause immediate changes.
They 20 can kill cells and make them look bizarre. What
we 21 have to remember here is that the pathology we
have, 22 for example, from the left lung came one month
after 23 chemotherapy and radiation had been completed.
24 There was no alteration in the
morphology. 25 It was very, very clear. And I have to reiterate,
the

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1 gold standard in medicine is not cytology when you
have 2 pathology available. Looking at some slides of a
few 3 cells is never as definitive as looking at the
tissue 4 where you can see the cells and the way they
interact 5 with the cells around them.
6 So, when you have clear pathologies, as
in 7 this case, I basically believe that the cytology
slides 8 are almost superfluous to the whole issue here.

It's
9 very clear, both from the cytology and pathology
10 slides, that this is not BAC. It's extremely
clear on
11 the pathology slides that we don't have the
pattern of
12 BAC that we talked about earlier, which grows
through
13 the alveoli and is classic for BAC.
14 Q. Now, when you say the chemotherapy and
the
15 radiation which ended earlier, you saw no
alteration in
16 the morphology, meaning what?
17 A. That is, the way the cells looked and
the way
18 that the tissue looked that was being evaluated,
there
19 was no evidence of necrosis in the areas that I
looked
20 at that would make any difference. There was very
21 clear morphology. You could see the cells. You
could
22 see the way they sat in the tissues.
23 It was very clear a poorly
differentiated
24 adenocarcinoma, which was concurred by the
pathologists
25 that were seeing the slides at the same -- at the
time

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1 of the diagnosis.
2 Q. Now, you were asked some questions about
-- I
3 think there was a comparison made between the
tumor in
4 the left lung and then the subsequent tumor in the
5 right lung, and I understood you to agree that
either
6 one or both of the tumors was unusual, somewhat
7 unusual?
8 A. Correct.
9 Q. The Pancoast tumor?
10 A. That is correct.
11 Q. Does that unusualness in -- without
repeating
12 it all, does that unusualness in any way alter the
13 opinions that you've expressed here today about it
not
14 being BAC and about the cancer having been caused
by
15 smoking?
16 A. Well, no. We have to remember that
there's
17 an epidemic essentially of lung cancer. I mean,
18 there's going to be probably close to 200,000
cases of
19 lung cancer next year.

20 MR. REILLY: Your Honor --
21 A. There's a lot of cases --
22 MR. REILLY: Your Honor, I --
23 THE COURT: I think it's a prefatory
remark
24 at this point, so as far as it goes, overrule the
25 objection.

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1 Go ahead. Finish your answer, sir.
2 A. (Continuing) With over 200,000 cases
next
3 year probably of lung cancer, there's so many
different
4 cancers that we see in individuals that
individuals
5 vary and you see different presentations. It
doesn't
6 change the basic morphology of the cells, though.
It
7 doesn't change what you're looking at under the
8 microscope.
9 When you see so many different cancer
cases,
10 you're going to get a case that has a tumor here
and a
11 tumor there, a single tumor, a tumor that
metastasizes
12 to this area. When you scrutinize each individual
13 case, you can say it looks unusual.
14 When you're used to treating patients
with
15 oncology, you get into this thing that I've been
16 talking about. Tumors do whatever they want to.
17 That's why they're so difficult to treat. The
point is
18 when you've seen enough patients, you see
virtually
19 every patient, and it's not that surprising to us
20 anymore. We see all kinds of patterns. We deal
with
21 it. We're still very clear to make sure the
pathology
22 is correct so that we know how to treat the
patient.
23 Q. And as you reviewed both the slides and
24 Mrs. Farnan's records, do I understand correctly
that
25 pathologists were involved in an analysis of the
tumor

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1 that was in the left lung, with the tumor that was
in
2 the right lung, and then with the brain tumor?

3 A. Well, actually, you have four stages:
You
4 have the cytology slides, which were originally
read;
5 you have the pathology sides from the original
left
6 tumor; you have the pathology slides from the
right
7 tumor; and then those from the brain. And in all
those
8 circumstances, pathologists had an opportunity to
read
9 those slides and make a diagnosis, and none of
them
10 made a diagnosis of BAC.
11 Q. Now, there was some discussion about the
12 difference between recut slides and original
slides.
13 And as I understand it, you were looking at recut
14 slides?
15 A. Except for the three cytology slides
which
16 were originals and were sent to us from Shands.
17 Q. Okay. From your standpoint in this
case,
18 would there have been any value in your -- and you
19 would have had to go to Gainesville, Florida from
20 Baltimore to look at the original slides, the
original
21 pathology slides. Would that have been useful to
you?
22 A. I don't think so. There was plenty of
tumor
23 evident in all of the recut blocks. It means that
once
24 you have -- you take the tissue and you embed it
from
25 the parafin block, so it's kind of in this square.
And

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1 this tumor sitting inside of it, you take one
slide of
2 it. That's the original.
3 But what it means to be recut means you
go
4 just a few microns, which is, you know,
essentially
5 almost a minuscule distance, next to it, and you
take
6 another slide.
7 This is all representative of the same
tumor.
8 We got an opportunity to see those. There's
absolutely
9 no difference between that and what the
pathologists
10 described. We have the same thing: poorly
11 differentiated adenocarcinoma in the left lung

without
12 any evidence of BAC.
13 Q. Let's say a pathologist or oncologist is
14 looking at Mrs. Farnan's pathology slides, and
strictly
15 as a hypothetical question --
16 A. Okay.
17 Q. -- let's say, makes a diagnosis of
18 adenocarcinoma, but sees a -- by the way, you
showed --
19 you showed the members of the jury, you know, a
tiny
20 little slide, which to laypeople looks like
there's
21 hardly anything there, but yet when we talk about
the
22 cells, how many are we talking about?
23 MR. REILLY: Objection, Your Honor.
Leading.
24 A. You're talking about hundreds of
25 thousands --
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1 THE COURT: Wait a minute. What?
2 MR. REILLY: Object to the leading form
of
3 the question.
4 THE COURT: I don't think it's leading
at
5 all.
6 Overruled.
7 BY MR. ROSENBLATT:
8 Q. How many cells would we be talking
about?
9 A. It depends whether it's a piece of
tissue or
10 cytology. In cytology, it could be hundreds of
11 thousands. It depends on the magnification you're
12 looking in. Even in some sections of tissues,
there
13 could be tens of thousands of cells
14 Q. So, in this hypothetical, if a
pathologist
15 makes a diagnosis of adenocarcinoma but sees a few
16 cells out of the many thousands which resemble
BAC,
17 does that make it BAC?
18 A. Absolutely not. It goes back to the
same
19 issue we're talking about, you know, taking
pictures of
20 the world. You've got to get what you call the
21 Gestalt; that is, the overall feeling of what
you're
22 looking at.
23 And when you see a poorly differentiated
24 adenocarcinoma, and you see a few cells whose
nuclei
25 aren't that apparent, that is that the size and

shapes

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1 of the nuclei don't change as much, that does not
make
2 it BAC. BAC has specific diagnostic criteria,
both of
3 cytology and pathology, and there has to be a
4 substantial amount of the tumor that has that to
make
5 the diagnosis.
6 A. Unfortunately, cancers do what they want
to
7 do. As they spread throughout, they change and
vary in
8 form and can do all kinds of things, but it
doesn't
9 change the overwhelming observation that this is a
10 certain type of cancer; in this case, a poorly
11 differentiated adenocarcinoma.
12 Q. Now, when you use the analogy that
cancer
13 tumors, you know, do pretty much what they want,
do I
14 understand you to mean that even with all of your
15 expertise, and you can have board-certified
16 oncologists, even you -- they're unpredictable, in
17 other words, what they're going to do, if you try
to
18 predict?
19 A. That is correct. On a group of patients
with
20 a given type of cancer, you can get a general
sense of
21 how things are going to progress and what you want
to
22 look out for. But, again, if you see enough
patients,
23 you see all kinds of unusual presentations and
24 progressions, and you have to keep them in mind as
you
25 go through the case.

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1 Q. What is the term when a pathologist or
anyone
2 would look at a slide and say -- look at a
particular
3 portion of the slide and say, "Well, that's an
artifact
4 or it could be an artifact"? How is that term
used?
5 A. Well, again, what happens is that -- and
6 especially in areas like cytology -- cells can
spread

7 out. Remember, you're taking a piece of tissue,
let's
8 say, from a lump or something like that. Your
cells
9 are passing through into a fine needle, and then
you
10 squirt them out on the slide.
11 And so, the process of drawing them up
and
12 squirting out can cause all kinds of aggregations
of
13 cells that may not be representative of what's
actually
14 going on in the tissue bed itself. In the actual
tumor
15 there could be just little areas of cells clumping
16 together, kind of following along the line.
17 All these kinds of things are things you
look
18 at when you look at a slide and you realize that
19 they're just artifacts; they're just the way the
cells
20 are spread out because of the technique that's
being
21 used.
22 You look for those things and you
exclude
23 them. You don't make them a major part of the
24 diagnosis. You basically say, "This is not
relevant,"
25 and you look at the major areas where you do see
things

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1 that make sense. I mean, it's the kind of thing,
2 again, that you just have to have the experience
to
3 look through the slides and know what you're
looking
4 for.
5 Q. As I'm sure you recognize, when we talk
about
6 cancer, it's very easy, you know, to get lost in
7 detail. But let me ask you this broad question.
8 From the standpoint of an oncologist,
from
9 the standpoint of a group of doctors treating a
given
10 patient, is it fair to say that the bottom line,
both
11 to them and to the patient, is: Is it cancerous?
And
12 how do we treat this to give this patient the best
13 chance at recovery?
14 A. Well, as somebody that does a lot of
15 research, I would say that's the most important
thing.
16 No matter how much research you do and how many
studies

17 you're doing on tumors and how many slides you're
18 taking and all of the other things, you need to
make
19 sure the patient has cancer. That is the absolute
20 first priority of an oncologist and treating
physician.
21 You need to identify the type of cancer
22 within reasonable certainty so that you know how
to
23 treat that patient, and then you have to proceed
with
24 treating that patient correctly. That is, by far,
the
25 most important thing that needs to be done when
you're

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1 treating a patient with cancer.
2 Q. From the standpoint of treating a
patient,
3 will the treatment vary depending on the diagnosis
of
4 the specific kind of cancer the patient has?
5 A. It can be very, very important. And
it's
6 very -- it's critical that we make the right
diagnosis
7 on pathology to be able to give the right
treatment to
8 the patient, whether it be surgery or radiation
9 therapy, chemotherapy or, in certain
circumstances, to
10 be able to potentially consider other experimental
11 therapies for them.
12 MR. ROSENBLATT: Thank you, Doctor.
13 THE COURT: All right, Doctor. You may
step
14 down. Thank you.
15 THE WITNESS: Thank you.
16 THE COURT: All right, folks. I think
we're
17 at a stage here where I think we're through with
the
18 witnesses for today. They went a little faster,
which
19 is good.
20 So, we'll break for you. I think the
lawyers
21 and I have things that we have to take up this
22 afternoon.
23 So, we'll let you folks go home, and
we'll be
24 back here at the usual time, 9:15 for you, 9:30
for the
25 court, and we'll try to work it out that way.

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1 Again, the same rules apply. Please
stay
2 away from any outside sources of information. And
if
3 there is anything published in any form
whatsoever,
4 just don't look at it, read or listen to.
5 Thank you, folks.
6 (The jury exited the courtroom.)
7 THE COURT: Have a seat, folks.
8 Who are you calling for tomorrow?
9 MR. ROSENBLATT: Dr. Petty, the
pulmonologist
10 from the University of Colorado. And in view of
what
11 happened today, Judge, I had told Mr. Webb -- I
think,
12 over the weekend, I mentioned it to him, on Sunday
--
13 not knowing how long their cross was going to
take, I'm
14 trying to line up some lay witnesses to be
available,
15 as well.
16 And on Wednesday, you know, in view of
what
17 happened today, Dr. Forbes, who is a treating
physician
18 of Mr. Amodeo, is going to testify, and I need to
talk
19 with, you know, Mrs. Farnan about -- I don't have
any
20 other experts -- I mean, that's the extent of my
21 experts this week, Dr. Petty and Dr. Forbes.
22 Some of the others that we had talked
about,
23 you know, are certainly not available this week.
And
24 so, the other witnesses that I would be talking
about
25 would be lay witnesses, before and after, that
kind of

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1 thing.
2 THE COURT: Over the lunch hour, when I
went
3 upstairs, I found another motion in limine, this
one
4 regarding Dr. Petty, which I haven't read yet. I
don't
5 know if you got it.
6 MR. REID: We're going to have a problem
with
7 Dr. Petty. Dr. Petty is a pulmonologist from
Phase I.
8 THE COURT: Yes.

9 MR. REID: He's a pulmonologist and he's
10 going to come in and say: I read the medical
records;
11 it's not BAC; it's whatever it is, and he's going
to
12 give addiction opinions. And, again, it's an
exact
13 reproduction of Dr. Burns and -- because they're
both
14 pulmonologists -- on the same subject matter of
the
15 testimony of Dr. Richmond, and it's cumulative.
16 THE COURT: Well, as I say, I haven't
read
17 your motion.

18 MR. REID: Sure, and counsel today, with
19 regard to this witness, argued that he had a
specialty
20 in something different.

21 THE COURT: I'm not arguing the motion,
22 counsel.

23 MR. REID: Oh, no?

24 THE COURT: You didn't understand. Not
yet.
25 If you want to, we'll take time off.
It's

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1 only 20 to 3:00. If you feel that --
2 MR. ROSENBLATT: He's on an airplane, so
I
3 couldn't do anything. I mean, Dr. Petty from
Colorado,
4 he's on an airplane.
5 THE COURT: But we could argue the
issues.
6 MR. ROSENBLATT: I understand.
7 THE COURT: So, you want to give me some
time
8 to go upstairs and look over the motion, find out
what
9 it's all about, and we could maybe argue the
issues
10 today, so we wouldn't have to take up time
tomorrow
11 morning. I know it's short notice but --
12 MR. ROSENBLATT: Okay.
13 MR. WEBB: That's fine.
14 Your Honor, there's one -- that's fine.
15 We'll do that. And there is another issue just to
16 raise, Your Honor, and that is the -- Mr.
Rosenblatt
17 did notify me yesterday, on Sunday, about the
18 possibility of some Amodeo-related fact witnesses,
and
19 he sent me a note on that, and I have notice of
those
20 witnesses. Although I just wanted to make sure
the

21 Court understands, if any of them were to testify
22 tomorrow, the notice we got on Sunday clearly
would
23 breach the 72-hour rule.
24 And while Your Honor -- while Your Honor
has
25 said the 72-hour rule may get breached sometimes,
and I

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1 recognize that, and I'm looking at Your Honor's
face
2 right now, but you have to remember we went back
and
3 visited this again, Your Honor, and because we
weren't
4 going to use exhibit lists, everyone agreed that
we're
5 going to have to follow the 72-rule. And that's
the
6 problem.
7 And I'm not trying to carp and complain.
8 Mr. Rosenblatt, I think, tries to keep an open
line of
9 communication with me, but it's a problem, okay?
And
10 we're going to have witnesses come up tomorrow,
and I
11 don't know whether the defense lawyers have had a
12 chance to review their files. There are a number
of
13 lawyers that are assigned to do those witnesses.
14 THE COURT: Let me explain the situation
to
15 you as I see it from the Bench.
16 MR. WEBB: Yes, Your Honor.
17 THE COURT: Most lawyers that I know,
18 including myself, are terrified of the Judge and
what
19 the Judge would do if I showed up in court and
didn't
20 have a witness to present. Okay.
21 MR. WEBB: Yes, sir.
22 THE COURT: So, we go through the whole
23 procedure, "Oops, the witness is going to be
finished
24 earlier than I thought and I have no back-up.
What am
25 I going to do?" I understand. I've been there.
We've

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1 all been there.
2 So, I think in the position of caution,
3 counsel is saying, "Look, if really push comes to

Court's 4 shove, I can get a witness in, if this is the
that. 5 position." And I can understand why he would say
a 6 I also understand your position, not prepared for
time 7 witness that you weren't ready for by way of the
is a 8 it takes to prepare for cross examination. It all
9 mix that I've got to work out.
10 MR. WEBB: True.
11 THE COURT: And I understand on both
sides of 12 this issue why you take the position that you
take. 13 I think Mr. Rosenblatt knows that I'm
not 14 that much of an ogre when it comes to this because
15 we've been through this before, the first trial
that we 16 were in on the ETS case and also this case; that I
17 don't burn people's feet too much when it comes to
18 presentation of witnesses. If we didn't have a
19 witness, we didn't have a witness. What am I
going to 20 do?
21 MR. WEBB: Okay.
22 THE COURT: On the other hand, I would
like 23 to move it along, and if it's possible, to put a
24 witness on, and we wouldn't have to wait another
25 hours to get that witness on. I would prefer to
do

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with 1 that, unless it interferes, really prejudicially
2 some other matter.
3 So, we have to look at the witness and
see 4 what kind of witness we're talking about: Is it a
real 5 critical witness, noncritical, that kind of thing.
6 MR. WEBB: I accept that.
7 THE COURT: I think it really should
work out 8 some way. We can work it out.
9 This afternoon, unfortunately, we have a
10 little bit of leeway to take up, so we can waste
-- not 11 waste -- instead of wasting the time, take up the
time 12 to resolve some of the legal issues that we won't
have 13 to worry about tomorrow morning.
14 MR. WEBB: We agree.
15 THE COURT: That works. Sometimes we'll

get
16 home early.
17 All right.
18 MR. ROSENBLATT: Can we have until,
what,
19 about 3:30, Judge, to come back?
20 THE COURT: Yes. Give me an hour.
21 MR. ROSENBLATT: An hour. Fine.
22 THE COURT: Well, actually, 3:30 will be
all
23 right. Try 3:30.
24 (A brief recess was taken.)
25 THE COURT: All right. Have a seat,
folks.
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1 Okay.
2 MR. REID: Well, Your Honor, there's not
much
3 to add to what we have in the papers.
4 THE COURT: Yes.
5 MR. REID: Just straightforward.
6 Dr. Richmond and Dr. Burns now have both testified
7 about cause, about addiction. Dr. Sidransky just
8 testified about cause. Dr. Petty is a
pulmonologist,
9 same as Dr. Burns.
10 Dr. Petty didn't look at the slides;
didn't
11 look at -- didn't even look at all of the reports
of
12 the radiation -- radiology, rather. Essentially
he's
13 going to come in, as did Dr. Richmond, and testify
that
14 this is what -- this is what the records say. And
it's
15 cumulative. And there are other witnesses -- I
16 understand, we shouldn't talk about the later
witnesses
17 and we'll deal with those as we get to them, but
now
18 we're up to our -- this will be the fourth
witness, and
19 there's nothing special. You know, Dr. Sidransky,
the
20 argument was he's a little bit different because
he's
21 in molecular biology, and Dr. Burns, I can't
remember
22 why Dr. Burns was different -- well, I guess he
was the
23 pulmonologist, that's the reason he was allegedly
24 different from Dr. Petty.
25 So, all of the reasons that counsel has
given

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1 for excluding or for letting the witnesses be
2 cumulative, we've sort of run out with Dr. Petty,
and
3 you remember, he testified in Phase I about a much
4 broader area. And so, our basis is 403; just to
permit
5 a party to keep putting in the same words,
especially
6 when it's not based on their own independent
analysis.
7 It would be one thing if Dr. Petty had done -- if
8 Dr. Petty had read the slides and done some actual
work
9 as a doctor and came in and testified, and if Dr.
Burns
10 had, but here it's further problematic because
they're
11 just coming in and reciting what the medical
records
12 say.
13 The witnesses are coming in and they're
just
14 saying: Well, the pathologists all said this, and
none
15 of them said BAC, and so in my opinion, they don't
have
16 BAC, and in my opinion, there's addiction.
17 So, we would suggest that Dr. Petty not
be
18 permitted to testify again.
19 THE COURT: All right, counsel.
20 MR. ROSENBLATT: Judge, just reminding
you
21 briefly, Dr. Petty did testify in Phase I. He is
a
22 world-renowned pulmonologist. He was the
president of
23 the American College of Chest Physicians.
24 He has been an honorary professor at
medical
25 schools all over America, all over the world.
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1 Dr. Petty and Dr. Burns are hardly neighborhood
2 physicians.
3 Now, most fundamentally, Judge, we've
been
4 assuming all along that at worst, at worst, the
same
5 ground rules apply for Phase II that applied in
Phase
6 I; and the rule was, two experts per specialty.
That
7 was the rule in Phase I.
8 So, Burns is a pulmonologist, and Dr.
Petty

9 is a pulmonologist, and they are very different.
And,
10 obviously, this is not -- counsel just totally
forgets
11 about the fact that this is a state-wide class
action
12 involving hundreds of thousands of individuals.
13 And the decisions the jury makes as to
Mary
14 Farnan and Frank Amodeo will have an impact on
15 thousands of class members throughout the state
with
16 respect to punitive damages, because, according to
your
17 trial plan order, the compensatory damage award is
the
18 predicate for the punitive damage award for the
class.
19 Now, while Dr. Petty and Dr. Burns are
both
20 pulmonologists, their backgrounds and experience
are
21 very different. Dr. Petty has actually run an
22 addiction clinic where he was treating nurses for
23 addiction, and he has that particular expertise.
And,
24 of course, Mary Farnan is a nurse.
25 Dr. Burns has been more of a public
health

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1 official and has been intimately involved in the
2 editing and publication of various Surgeon
General's
3 Reports; whereas, Dr. Petty has had more of a
hands-on
4 clinical practice for many decades.
5 And, Judge, I consciously try to avoid
6 duplication. For example, although Dr. Petty has
had a
7 connection with various Surgeon General Reports to
a
8 much lesser extent than Dr. Burns, I'm not going
to go
9 into that with him at all.
10 Now, one of the issues, just as an
example,
11 the defense has raised is, they claim that somehow
had
12 Dr. Collins diagnosed Mr. Amodeo's throat cancer
13 earlier, his prognosis would have been entirely
14 different. And Dr. Petty is prepared, you know,
to
15 testify on that subject, based upon his vast
experience
16 in his field.
17 And in a sense, obviously we view Phase
II as
18 somewhat of a continuation of Phase I, where the

same
19 witness -- some of the same -- not all of them. I
20 guarantee you, not all of them -- where some of
the
21 same witnesses are basically completing their
22 testimony, by now focusing on two individuals,
rather
23 than speaking generically.
24 You know, it's clear from the
objections, and
25 Your Honor's rulings on the objections, that when
a

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1 witness -- that when Your Honor feels a witness is
2 straying beyond just a sentence or two about Phase
I,
3 you know, you cut him off. So, you've made the
ground
4 rules pretty clear.
5 But we think it's perfectly appropriate
to
6 say, in effect, to Phase I experts, such as Dr.
Petty:
7 You testified before the jury that smoking causes
lung
8 cancer and that smoking causes throat cancer, and
now
9 you've reviewed the medical records of two
individuals,
10 and we want to zero in on these two individuals.
11 But, fundamentally, I mean, we have --
we
12 have relied, in lining up witnesses, on our
13 understanding that the same rules would apply in
Phase
14 II, and we would have two experts per specialty,
you
15 know, leaving out -- we didn't have the issue of
16 treating physicians.
17 And I'm pretty sure, Judge, we've
deposed at
18 least two experts per specialty for the defense.
I
19 mean, that's what they're doing. They're listing
two
20 experts. And, again, we're involved in a
semantical
21 kind of thing here.
22 And as a practical matter, Judge, we're
only
23 talking about a few more independent experts. And
if
24 today was any example, where a board-certified
expert,
25 you know, finishes up in far less than a day,
we're

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1 moving. You know, we're moving.
2 And I'm going to make every effort to
move
3 even more quicker and have back-up if we finish --
I
4 don't think we're going to be in the situation as
we
5 were basically just about every day in Phase I.
You
6 know, we never had more than one expert per day,
and I
7 think here it's very possible that if not two
experts,
8 you know, one expert and some lay witnesses.
Probably
9 we're talking about half the experts we called in
10 Phase I.
11 And Dr. Petty is our second
pulmonologist.
12 So, if we're bound by the Phase I ground rules --
and I
13 submit, we had -- we had a right -- a right to
rely on
14 that -- now, they'll probably bring up Dr.
Feingold,
15 but Feingold is in a -- he did not testify in
Phase I.
16 Feingold is a local pulmonologist. If they want
to get
17 into that, they will admit that Feingold's role
was
18 totally different, because he interviewed these
people,
19 had hands-on contact with Mr. Amodeo, and that's
an
20 issue, you know -- we'll deal with that at the
time.
21 At this point, I'm not 100 percent sure
I'm
22 calling Feingold. And if I do, they'll -- you
know,
23 they'll make their objection. But, obviously,
he's a
24 pulmonologist. But we think very, very different
from
25 either Burns or Petty.

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39602

1 But where the case stands right now,
we've
2 only had one pulmonologist testify; that was Dr.
Burns.
3 And now we're bringing in our second
pulmonologist,
4 Dr. Petty, which would have been perfectly

acceptable
5 in Phase I, and that's what we're assuming is the
case
6 in Phase II.
7 MR. REID: The claim that this is
somehow on
8 behalf of a state-wide class and there are a lot
of
9 potential class members, and, therefore, the
cumulative
10 testimony should be permitted, it's just not a
valid
11 point.

12 This is a -- this particular trial is
about
13 these two individuals, and the jury is going to
decide
14 whether or not these two individuals have a
disease,
15 specifically, which cause is caused by smoking,
and
16 they're going to decide other things relating to
these
17 two individuals. And the witnesses being put on
the
18 stand are only talking about these two
individuals,
19 based on the Court's previous rulings.

20 So, the fact that we're doing this in
the
21 context of a class doesn't mean that you get to
throw
22 out the rules and you get to put on -- for
instance,
23 would counsel argue that he could put on testimony
24 about heart disease now from a cardiologist
because
25 there might be a class member out there with heart
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1 disease? Of course not.
2 So, the fact that it's a class -- and
there
3 is no impact on what these witnesses are
testifying to.
4 These witnesses are specific causation witnesses
about
5 the medical condition of these two plaintiffs.
And
6 that's not going to apply to anybody except for
these
7 two plaintiffs.
8 Trying to distinguish between Burns and
9 Dr. Petty, because Dr. Burns was more involved in
the
10 Surgeon General's Reports than Dr. Petty, well,
they
11 were both involved in the Surgeon General's
Reports,

12 but that's not a valid reason. The fact -- even
if one 13 had never heard of the Surgeon General's Report,
14 they're being called on -- that was Phase I,
talking 15 about the Surgeon General's Reports and about
general 16 cause and what the Surgeon General did. The
witnesses 17 wouldn't even be allowed to discuss -- and Dr.
Burns 18 didn't talk about the Surgeon General's Report as
his 19 direct testimony, with regard to these two
individuals. 20 So, the fact that they both had
something to 21 do with the Surgeon General's Reports, the fact
that 22 there's a two-expert rule, the problem we have,
Your 23 Honor, is if there is a two-expert rule, we're now
on 24 the fourth expert about -- talking about the very
same 25 subject.

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39604

1 You shouldn't be able to have it both
ways. 2 If you are going to say I can bring a
pulmonologist to 3 talk about the pulmonologist area and have two
experts, 4 then you shouldn't be allowed to bring a
pediatrician 5 and a molecular biologist to testify to the very
same 6 testimony.
7 And if you look at what's in our motion,
this 8 is going to be exactly the same testimony that the
jury 9 has already heard. There's nothing unique about
10 Dr. Petty. You permitted Dr. Richmond to testify
11 because the Court found, after voir dire, and you
12 permitted Dr. Burns to testify, because you found,
by 13 voir dire, that both had some experience in the
area of 14 addiction.
15 You remember Dr. Burns talked about
treating 16 people; that he had actually treated some people
for 17 dependence, and that was part of his proffer. Now
18 counsel argues because Dr. Petty treated people
for 19 addiction, he should be allowed to be cumulative.

20 It boils down to, Your Honor -- in Phase
I --
21 this is not a continuation of a particular
witness,
22 because under that argument, every single witness
could
23 come back. We had probably nine witnesses or 12
24 witnesses that testified lung cancer is caused by
25 smoking.

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1 Counsel could never suggest that every
one of
2 those witnesses should be allowed to come back and
3 complete their testimony about individuals. There
has
4 to be some limitation.
5 And there's certainly no prejudice to
the
6 plaintiffs here. The plaintiffs have put on three
7 separate doctors, all of whom have testified, with
the
8 exception of Dr. Sidransky -- he didn't go to the
9 addiction question -- with the exception of that,
all
10 three have already testified to the very issue
that
11 this jury has to decide.
12 And now Dr. Petty is going to be a
fourth to
13 say the same thing. And Dr. Petty didn't look at
the
14 slides. He didn't look at the X-rays. He didn't
do
15 anything different than what the other ones did.
16 He's coming in, once again, as a
17 record-reader for the jury. And that's the only
18 difference. And at some point the prejudice
becomes
19 overwhelming.
20 The jurors sometimes, I think -- I've
had
21 experience over the years where courts have told
22 jurors, you know, the number of experts that
people
23 call shouldn't be a factor and so forth, but there
gets
24 to be a point where you just bring it over and
over and
25 over, and it can't help but affect the jury when
they

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1 bring these people back over and over and over.
2 So, I would suggest that, once again, we

not
a
the
argument
with
ever ge

3 be permitted to hear the same testimony, just from
4 different doctor who's from a different part of
5 country, and then counsel, of course, in his
6 is already anticipating that he's going to come up
7 a different reason for Dr. Feingold, another
8 pulmonologist, but we'll argue about that if we
9 to that.

no
merely
have

10 The bottom line, Your Honor, is there's
11 basis for permitting Dr. Petty to come in and to
12 draw the same conclusions that the other doctors
13 drawn from reading the same records.

particular

14 I think Mr. Webb wants to make a
15 point.

Honor.

16 MR. WEBB: Just on one point, Your

arguing
disclosed
us

17 Mr. Rosenblatt -- I didn't plan on
18 this, Your Honor, but Mr. Rosenblatt just
19 another opinion that has never been disclosed to
20 until this moment.

about
and
would
Amodeo

21 Dr. Petty was disclosed in an expert
22 disclosure, and has been deposed on his opinions,
23 whether smoking caused the diseases of Mr. Amodeo
24 Mrs. Farnan, and he was deposed as someone who
25 testify on the addiction of Mrs. Farnan and Mr.

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1 to nicotine. And he has been deposed on that,
2 it is subject to obviously the cumulative motions
3 made.
4 Mr. Rosenblatt just talked about a brand
5 opinion in which he said that Dr. Petty is now
6 offer medical opinions on whether Dr. Collins'
7 malpractice could have affected Frank Amodeo's
8 treatment. That gets in -- there's a whole area
9 this case dealing with the size of Mr. Amodeo's
10 in January of 1987, when he first consulted with

although
just
new
going to
of
tumor

11 Dr. Collins, versus the size of it in June of 1987
when
12 he was properly diagnosed with laryngeal cancer.
13 I, on behalf of the defendants, Your
Honor --
14 that opinion, which I just heard about three
minutes
15 ago, five minutes ago, we make a motion in limine
on
16 that opinion. We couldn't possibly cross examine
17 Dr. Petty. He hasn't been disclosed on that
issue.
18 He's not been deposed on that issue, and I
respectfully
19 suggest to the Court that he should not be allowed
to
20 offer an opinion -- an expert opinion on a subject
21 matter that he was never disclosed on, nor ever
deposed
22 on.
23 MR. ROSENBLATT: On that last issue,
Judge, I
24 mean, how can they -- how can they dream, when
they
25 depose a doctor for hours, and who's going to
obviously

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1 testify that, in his opinion, cigarette smoking
caused
2 Mr. Amodeo's throat cancer, and not get into the
issue
3 of if Collins had made the correct diagnosis,
would it
4 have changed anything? I mean, that's just part
of
5 the -- in a disclosure, you're not laying out
every
6 little fragment and sentence.
7 I mean, that's obviously part of the
picture.
8 That's part of the hypothetical: That he went to
a
9 doctor; he had these complaints; he saw him in
January;
10 the diagnosis wasn't made until June. Does that
11 matter?
12 So, it's all just part of the picture.
It's
13 just a simple thing where the witness is coming
in:
14 What's this case all about? What caused the lung
15 cancer? What caused the throat cancer? Were
these
16 people addicted?
17 THE COURT: Did they ask him the
question on
18 deposition?
19 MR. ROSENBLATT: I'm sure it was gone

into.

20 MR. WEBB: No, it wasn't. No.
21 MR. ROSENBLATT: I'm sure -- I'm sure

the

22 subject of what Dr. Collins did or didn't do, you
know,
23 came up. I don't -- I don't have --
24 MR. WEBB: Not only -- I don't want to
25 interrupt you.

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1 MR. ROSENBLATT: Well, if it didn't come
up,
2 it should have. I mean, if it didn't come up, it
3 certainly should have: Do you have an opinion on
4 anything Collins did or didn't do?
5 I mean, again, Judge, we're strictly in

this

6 area of game-playing, where if it didn't come up,
7 they're obviously smart enough that they

deliberately

8 made a decision to avoid that subject so they

could

9 make the argument they're making today.
10 The man is in front of them. They're in
11 Colorado. They're taking his deposition about

Frank

12 Amodeo. How do you depose an expert in the Amodeo

case

13 without discussing this delay in diagnosis and

seeing

14 what opinions the doctor has?

15 THE COURT: All right.

16 MR. WEBB: Can I respond to that just
17 briefly, Your Honor, only because I just want to

make

18 sure the record is clear.

19 Not only was Dr. Petty not deposed on

that,

20 it happens to be that Dr. Collins' medical records

were

21 never turned over to Dr. Petty.

22 There are Dr. Collins' medical records

in

23 January. They happened to have been excluded from

the

24 records that were turned over to Dr. Petty by

25 Mr. Rosenblatt's office.

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1 And there is no -- there's -- there is
no
2 relationship at all -- whether smoking caused the
3 laryngeal cancer, that is an issue in this case.

But a

4 totally separate issue is whether or not the
5 malpractice -- the size of the tumor in January
6 exacerbated the injury or caused the injuries that
he's
7 complaining about in this case is a completely
8 separate -- it's a completely separate medical
opinion
9 that has nothing to do with the first issue,
nothing at
10 all.

11 So, not only was he not disclosed on it,
but
12 we didn't ask the question on it not because of
any
13 strategy. I didn't dream until this moment -- by
the
14 way, Dr. Burns did not opine on that, nor did
15 Dr. Richmond opine on that. So, the fact that now
they
16 say Dr. Petty is going to opine on that issue not
only
17 comes as a surprise, but they didn't even disclose
18 Dr. Collins' records to him. So, that would be
19 extremely unfair to have him testify about
something
20 never disclosed at all.

21 MR. ROSENBLATT: It's our position, we
would
22 have the right to ask a hypothetical question to
any
23 expert: Assume such and such; do you have an
opinion
24 whether that had an impact one way or the other?
25 It's hardly -- he doesn't have to see
all of

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1 the records about wood dust and saw dust. Make a
2 hypothetical. And what counsel has just said is
he's
3 cross examined every -- every witness. Rosenblatt
just
4 sent you selected records, and this is just
another
5 example of what he would do on cross. It hardly
6 provides a basis, you know, to exclude someone.
7 But more fundamentally, Judge, just
simply
8 following the -- there has never been an
announcement
9 by the Court -- to my knowledge, they've never
asked
10 you for an announcement, because they were afraid
to,
11 because then you would have remembered and you
would
12 have explicitly, well, discussed at least: Are we
13 operating under the same rules as Phase I? They
14 avoided that to come in and make this cumulative

15 argument.
16 THE COURT: Well, nonetheless, he's on
his way in, so there's not much we can do about that
right now.
18
19 MR. ROSENBLATT: Right.
20 THE COURT: In the event the Court
agrees with the defense -- and you have him scheduled for
21 tomorrow?
22
23 MR. ROSENBLATT: Tomorrow morning.
24 THE COURT: Then what would happen?
25 MR. ROSENBLATT: I think I have some
back-up

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1 lay witnesses, but they'll probably be very brief,
so
2 we'll have another short day.
3 THE COURT: We'll think about that
anyway.
4 We won't know until tomorrow morning, anyway.
5 All right. Okay. We'll be in recess
until
6 tomorrow.
7 (Court was adjourned at 3:50 p.m.)
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